



EDMUND G. BROWN JR.
GOVERNOR



MATTHEW RODRIGUEZ
SECRETARY FOR
ENVIRONMENTAL PROTECTION

Central Coast Regional Water Quality Control Board

August 26, 2016

CERTIFIED MAIL NO. [REDACTED]
RETURN RECEIPT REQUIRED

Facility Stormwater Manager
[REDACTED]
[REDACTED]
[REDACTED]

FIRST NOTICE OF REQUIREMENT FOR COVERAGE UNDER THE STATEWIDE STORM WATER INDUSTRIAL GENERAL PERMIT

Facility Name: [REDACTED]
Facility Street: [REDACTED]
Facility City: Watsonville
Facility County: Santa Cruz

To Facility Stormwater Manager:

Please give this letter your immediate attention to avoid potential monetary penalties.

You are receiving this letter because you own and/or operate an industrial facility that Central Coast Regional Water Quality Control Board (Central Coast Water Board) staff has identified as requiring regulation of its storm water discharge. The Federal Clean Water Act prohibits certain discharges of storm water containing pollutants unless those discharges comply with a National Pollutant Discharge Elimination System (NPDES) permit. The permit regulating industrial storm water discharges is the NPDES General Permit for Storm Water Discharges Associated with Industrial Activities, NPDES No. CAS000001. The California State Water Resources Control Board (State Water Board) adopted this permit (also known as the Statewide Storm Water Industrial General Permit, or IGP) on April 1, 2014 as State Water Board Order No. 2014-0057-DWQ¹. Within the Central Coast Region of California, the Central Coast Water Board administers the IGP, which went into effect on July 1, 2015. However, the State Water Board maintains the electronic reporting system for the IGP, so you will interact with both the State and Central Coast Water Boards in complying with the IGP.

¹ The 2014 IGP supersedes the 1997 Water Quality Order No. 97-03-DWQ, Waste Discharge Requirements for Discharges of Storm Water Associated with Industrial Activities Excluding Construction Activities. A copy of the permit is located at:

http://www.waterboards.ca.gov/board_decisions/adopted_orders/water_quality/2014/wqo2014_0057_dwq_rev_mar2015.pdf

DR. JEAN-PIERRE WOLFF, CHAIR | JOHN M. ROBERTSON, EXECUTIVE OFFICER

895 Aerovista Place, Suite 101, San Luis Obispo, CA 93401 | www.waterboards.ca.gov/centralcoast



Central Coast Water Board staff has information indicating you own and/or operate a facility under Standard Industrial Classification (SIC) Code **3446** at which the manufacturing of architectural and ornamental metal work, such as stairs and staircases, open steel flooring (grating), fire escapes, grilles, railings, and fences and gates, except those made from wire, occurs. The IGP requires facilities with this SIC Code to enroll in the IGP.

Requirements of the IGP

Central Coast Water Board staff finds that proper housekeeping and proper storm water management at architectural and ornamental metal work facilities is essential in protecting water quality. The IGP requires enrollees to implement the Best Available Technology Economically Achievable and the Best Conventional Pollutant Control Technology to achieve performance standards. The IGP also requires development of a Storm Water Pollution Prevention Plan (SWPPP) and a monitoring plan. Regulated facilities prepare a SWPPP to identify site-specific sources of pollutants and describe the measures applied to reduce storm water pollution.

In addition, enrollees must comply with the IGP via electronic submittal of applications and reporting to the Storm Water Multiple Application and Report Tracking System (SMARTS; <http://smarts.waterboards.ca.gov>). Electronic reporting is required to reduce the State's reliance on paper, to improve efficiency, and to make IGP documents more easily accessible to the public and the Water Boards.

Copies of the IGP, a fact sheet, associated attachments, how to apply for the IGP, information on electronic reporting, and a list of qualified California IGP trainers are available online at (scroll half way down the page to the heading "*Industrial General Permit 2014-0057-DWQ (EFFECTIVE July 1, 2015)*":

http://www.waterboards.ca.gov/water_issues/programs/stormwater/industrial.shtml

How to Comply with the Storm Water Regulations for Your Industrial Facility

To comply with the storm water regulations, you need to either enroll in the IGP (as described below in Option 1) or submit information demonstrating why the IGP is *not* applicable to your facility (as described below in Option 2).

Option 1: Enroll in the IGP

- 1) Review the IGP requirements (see http://www.waterboards.ca.gov/board_decisions/adopted_orders/water_quality/2014/wqo2014_0057_dwq_rev_mar2015.pdf, Section II.B on page 14) and sign up in SMARTS to electronically file a Notice of Intent (NOI) according to the SMARTS instructions. The NOI must be completed online in the State Water Board's on-line SMARTS database at: <https://smarts.waterboards.ca.gov/>

Instructions on how to sign up and register in SMARTS and file the NOI are available at: http://www.waterboards.ca.gov/water_issues/programs/stormwater/industrial.shtml (Scroll down page to Electronic Reporting –SMARTS Database and click on the **Discharger's Guide to the SMARTS Database** link and follow guidance starting on page 20).

- 2) To apply for IGP coverage, you must, on a computer:
 - Identify the Legally Responsible Person (LRP). LRP eligibility is described in Section XXI.K of the IGP (pages 71-72) and section 2.0 (page 5) in the Guide to the SMARTS

Database.

- Have the LRP "sign-up" and register to create an account in the SMARTS database using **Internet Explorer 11** browser. Other facility staff and consultants can register as well and be linked to the account by the LRP.
 - Enter the New User account details and security questions.
 - Print the Electronic Authorization form and mail to the State Water Board at the address provided on the form.
 - Once certified, the LRP will receive an account confirmation email with a temporary SMARTS login password.
 - Once the LRP receives a temporary password, the LRP can log into SMARTS to Link other registered users (e.g., Duly Authorized Representative, Data Entry Person).
 - Follow the Guide to SMARTS Database section 4.0 New Notice of Intent (NOI) starting on page 20 to enter contact, facility and billing information.
 - Select the Attachments tab, click "Upload Attachment" and upload the SWPPP and a separate Facility Site Map (follow directions on pages 24-25 of the Guide to SMARTS Database).
 - Perform Completion Check under the Certification tab to certify and submit the NOI and Permit Registration Documents electronically in SMARTS. Only the LRP can certify the NOI.
- 3) Once the LRP completes and certifies the NOI, print the Fee Statement and Electronic Authorization forms. Sign the forms in blue ink and mail with a check made payable to "SWRCB" for the application/annual fee (currently \$1,282, but subject to change). On-line payment via SMARTS is another option, but you must mail the wet-signature Electronic Authorization to complete the NOI application and certification if paying on-line.
- 4) To verify that the NOI application was submitted, the "Status" of the application should display "Submitted to the Water Board." Note: any applicable Electronic Authorization and payment must be mailed in so the State Water Board can issue a Waste Discharge Identification (WDID) number. Receipt of the WDID by email completes the NOI application process. Central Coast Water Board staff may review the documents and submitted information for accuracy and completeness, and require additional information.

Additional information about the requirements for all of the permit registration documents can also be found in Attachment D of the IGP.

For questions about the database or fee, email stormwater@waterboards.ca.gov.

Enrollment using SMARTS and the forms and check must be sent to the State Water Board at the following address **no later than 60 days after opening this letter** pursuant to California Water Code §13399.30 (a)(2).

State Water Resources Control Board Division of Water Quality
Attn: Storm Water Permitting Unit
P.O. Box 1977
Sacramento, CA 95812-1977

Consistent with this option, you are required to develop and implement a Facility/Site Map (per section X.E on pages 26-27) and a SWPPP specific to the operations of your facility (see IGP

Section X, page 24), and to comply with other requirements of the IGP. Additional guidance can be found in EPA's SWPPP development guidance document (http://www.epa.gov/npdes/pubs/industrial_swppp_guide.pdf) or the California Storm Water Quality Association (CASQA) Industrial and Commercial BMP Handbook (available by subscription at <https://www.casqa.org/resources/bmp-handbooks/industrial-commercial>).

Option 2: Demonstrate your facility should not be covered by the IGP

You must submit to the Central Coast Water Board information demonstrating why the IGP is *not* applicable to your facility. If you are not the facility operator, you must submit the facility operator's name and contact information. You have until the above time frame to submit this information pursuant to California Water Code section §13399.30(a)(2).

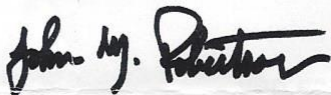
Submit the information to:

Central Coast Water Board
Attn: Amara Cairns
895 Aerovista Place, Suite 101
San Luis Obispo, CA 93401
or e-mail to Amara.Cairns@waterboards.ca.gov

The Central Coast Water Board is sending this notice pursuant to California Water Code §13399.30 and §13376. Pursuant to California Water Code §13399.33 (a)(1) and (b), failure to provide one of the two submittals (Option 1 or 2) described above may subject you to civil liability of no less than \$5,000 per year of noncompliance. Pursuant to California Water Code §13385 (a)(1) and (c)(1), failure to provide the Option 1 information above may subject you to civil liability of up to \$10,000 for each day in which the violation occurs. The Central Coast Water Board reserves the right to take any enforcement action authorized by law.

If you have any questions regarding this letter, please contact Amara Cairns at (805) 549-3878 or at Amara.Cairns@waterboards.ca.gov, or David Innis at (805) 549-3150 or David.Innis@waterboards.ca.gov.

Sincerely,



John M. Robertson
Executive Officer